

СЛУЖБА ЗА ЗАШТИТУ ПРАВА

**INFORMATION DISCLOSURE**

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Substitute for form 1449A/PTO				Application Number	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Filing Date	
				First Named Inventor	Robert E. Fischell
				Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	TOH2748P0043US

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Examiner  
Signature

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 601.01 of USPTO Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3) <sup>4</sup> For Japanese patent documents, the WIPO Standard ST.3 is "JP". <sup>5</sup> Indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the number of the patent of appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>7</sup> Applicant is to place a check mark here if ST. 16 is possible. <sup>8</sup> Appropriate English language translation is attached.

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				Complete If Known Application Number Filing Date First Named Inventor Art Unit Examiner Name Attorney Docket Number			
Sheet 2 of 5				DISCLOSURE Filing Date Robert E. Fischell Art Unit JPH2748P00431IS			

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	21	us 5,061,275	10/19/1991	H. I. Wallsten	
	22	us 5,102,417	4/7/1992	J. C. Palmaz	redundant
	23	us 5,104,404	4/14/1992	R. G. Wolff	
	24	us 5,116,365	5/26/1992	R. A. Hillstead	
	25	us 5,123,732	7/28/1992	D. M. Wilkox	Redundant
	26	us 5,192,307	3/9/1993	H. H. Wall	
	27	us 5,195,984	3/23/1993	R. A. Schatz	
	28	us 5,201,901	4/13/1993	F. Harada	
	29	us 5,266,073	11/30/1993	W. H. Wall	Redundant
	30	us 5,269,802	12/14/1993	B. B. Garber	
	31	us 5,282,824	2/1/1994	C. Giannirco	
	32	us 5,290,305	3/1/1994	K. Inoue	
	33	us 5,292,331	3/8/1994	Bonedeu	
	34	us 5,314,472	5/24/1994	A. Fontaine	
	35	us 5,405,377	4/11/1995	A. Crage	
	36	us 5,421,955	6/6/1995	L. Lau, et al.	Redundant
	37	us 5,443,498	8/22/1995	A. B. Fontaine	
	38	us 5,443,500	8/22/1995	V. Sigwart	
	39	us 5,449,373	9/12/1995	G. Pincus	
	40	us 5,496,367	3/5/1996	J. C. Orie	

FOREIGN PATENT DOCUMENTS					FOREIGN PATENT	
Examiner Initials	Cite No.	Foreign Patent Document*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	Date YY
		Country Code <sup>1</sup> - Number <sup>2</sup> - Kind Code <sup>3</sup> (if known)		Country Code <sup>1</sup> - Number <sup>2</sup> - Title Code <sup>3</sup>		
			51	EP433,011	6/19/1991	91
			52	EP472,731	3/16/1992	92
			53	EP510,230	5/5/1993	93
			54	EP556,807	10/1/97	97
			55	EP575,523	17/12/1997	97
			56	EP593,130	12/11/98	98
			57	US 5,965,748	9/15/98	98
			58	US 5,965,748	10/21/98	98
			59	W095/31945	11/30/95	95
			60	JP 4,1745	8/7/1994	94

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Sheet 1 of 5	J0H2748P0043US		

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		Number - Kind Code <sup>2</sup> (if known)			
	1	us 3,657,744	4/25/1972	R. A. Breck	Redundant
	2	us 4,503,569	3/12/1985	C. T. Dorsey	
	3	us 4,553,543	11/19/1985	D. Maass, et al.	
	4	us 4,580,568	4/8/1986	G. Stanturco	
	5	us 4,653,771	4/7/1987	B. L. Wallsten	
	6	us 4,681,110	1/21/1987	D. M. Wiktor	
	7	us 4,733,665	3/29/1988	J. C. Palmaz	
	8	us 4,759,762	4/26/1988	J. C. Palmaz	
	9	us 4,768,507	9/6/1988	R. E. Fischell	
	10	us 4,795,458	1/3/1989	B. F. Regan	
	11	us 4,800,882	1/31/1989	C. GranTurco	
	12	us 4,830,003	5/16/1989	R. G. Wolff	
	13	us 4,856,516	8/15/1989	R. A. Hillstead	
	14	us 4,878,906	11/7/1989	P. Lindemann, et al.	
	15	us 4,886,062	12/12/1989	D. M. Wiktor	
	16	us 4,907,336	3/13/1990	C. GranTurco	
	17	us 4,954,126	9/4/1990	H. J. Wallsten	
	18	us 4,969,458	11/13/1990	D. M. Wiktor	
	19	us 4,994,071	2/19/1991	D. C. MacGregor	
	20	us 5,019,085	5/28/1991	R. A. Hillstead	Redundant

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	53	EP540,290	5/5/1993	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant
	54	EP566,807	10/17/1993	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant
	55	EP579,523	1/19/1994	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant
	56	EP593,136	12/11/1989	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant
	57	GB1,205,743	9/16/1970	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant
	58	GB2,189,150	10/21/1987	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant
	59	WO95/31945	11/30/1995	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant
	60	JP6,41745	6/3/1994	Cordis Corporation v. Boston Scientific Corporation et al.	Redundant

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Sheet	2	of	5	JOH2748P0043US	Date

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Jury Verdict (Damages) of <u>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc. et al., Civil Action No. 97-550-SLR and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</u>
		Jury Verdict (Liability) <u>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc. et al., Civil Action No. 97-550-SR and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</u>
		November 20, 2000, Pretrial Hearing of <u>Boston Scientific Corporation et al. v. Cordis Corporation, Civil Action No. 98-19 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</u>
		November 21, 2000, Trial Transcript of <u>Cordis Corporation v. Medtronic Ave, Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</u>
		November 27, 2000, Trial Transcript of <u>Cordis Corporation v. Medtronic Ave, Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</u>
		November 28, 2000, Trial Transcript of <u>Cordis Corporation v. Medtronic Ave, Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</u>
		November 29, 2000, Trial Transcript of <u>Cordis Corporation v. Medtronic Ave, Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</u>
		November 30, 2000, Trial Transcript of <u>Cordis Corporation v. Medtronic Ave, Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</u>
		December 1, 2000, Trial Transcript of <u>Cordis Corporation v. Medtronic Ave, Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</u>
		December 4, 2000, Trial Transcript of <u>Cordis Corporation v. Medtronic Ave, Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</u>
		December 5, 2000, Trial Transcript of <u>Cordis Corporation v. Medtronic Ave, Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</u>
		December 6, 2000, Trial Transcript of <u>Cordis Corporation v. Medtronic Ave, Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</u>
		December 7, 2000, Trial Transcript of <u>Cordis Corporation v. Medtronic Ave, Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</u>
		December 8, 2000, Trial Transcript of <u>Cordis Corporation v. Medtronic Ave, Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</u>
		December 11, 2000, Trial Transcript of <u>Cordis Corporation v. Medtronic Ave, Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</u>
		December 12, 2000, Trial Transcript of <u>Cordis Corporation v. Medtronic Ave, Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</u>
		December 13, 2000, Trial Transcript of <u>Cordis Corporation v. Medtronic Ave, Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</u>
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Sheet 3 of 5	Attorney Docket No.	JOH2748P0043US	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		December 14, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 15, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		February 9, 2001, Trial Transcript (Inequitable Conduct) of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		February 12, 2001, Trial Transcript (Inequitable Conduct) of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		March 28, 2002, Order re Post Trial Motions of Cordis Corporation v. Medtronic Ave., Inc., Civil Action No. 97-550 (SLR)	
		March 28, 2002, Opinion re Post Trial Motions of Cordis Corporation v. Medtronic Ave., Inc., Civil Action No. 97-550 (SLR)	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Brief in Support of BSC's Motions for Judgement as a Matter of Law with Respect to U.S. Patent Nos. 5,643,312 and 5,879,370, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Answering Brief in Opposition to BSC's Motion for JMOL on the Fischell '312 and '370 Patents, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., BSC's Reply Brief in Support of BSC's Motions for Judgement as a Matter of Law with Respect to U.S. Patent Nos. 5,643,312 and 5,879,370, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Opening Brief in Support of Cordis' JMOL Motion Against Boston Scientific on Claim 44 of the Palmaz '762 Patent and Claim 25 of the Fischell '370 Patent, Civil Action No. 97-550 (SLR) and Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., BSC's Brief in Opposition To Cordis' JMOL Motion Regarding the Applicability of the Reverse Doctrine of Equivalents to Claim 25 of U.S. Patent No. 5,879,370, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Reply Brief in Support of Cordis' Motion for JMOL Against BSC on Claim 44 of the Palmaz '762 Patent and Claim 25 of the Fischell '370 Patent, Civil Action No. 97-550-SLR and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., BSC's Post Trial Brief in Support of Finding that U.S. Patent Nos. 5,643,312 and 5,879,370 are Unenforceable due to Inequitable Conduct, Civil Action No. 98-197 (SLR)	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., BSC's Reply Brief in Support of Finding That U.S. Patent Nos. 5,643,312 and 5,879,370 are Unenforceable due to Inequitable Conduct, Civil Action No. 98-197-SLR	
		Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Reply Brief in Support of Defendants' Motion for Summary Judgment of Unenforceability due to Inequitable Conduct, Civil Action No. 98-197-SLR	
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		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Defendants' Markman Memorandum on Claim Construction, Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Reply Brief in Support of Defendants' Motion for Summary Judgement of Invalidity Under 35 U.S.C. Section 112, Paragraph 2 (Claim Indefiniteness), Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Reply Brief in Support of Defendant's Motion for Summary Judgement of Noninfringement, Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Opening Expert Report of David C. Cumberland, M.D., Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Rebuttal Expert Report of David C. Cumberland, M.D., Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Supplemental Expert Report of David C. Cumberland, M.D. Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Opening Expert Report of Andrew S. Douglas, Ph.D., Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Rebuttal Expert Report of Andrew S. Douglas, Ph.D., Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Supplemental Expert Report of Andrew S. Douglas, Ph.D., Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Boston Scientific Corporation and Scimed Life Systems, Inc.'s Notice Pursuant to 35 U.S.C. §282, Civil Action No. 97-550-SLR and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Memorandum Order dated September 7, 2000, Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Order dated November 1, 2000 Denying Defendant's Motion for Summary Judgement of Invalidity, Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Order dated November 1, 2000 Summary Judgement of Infringement, Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Videotaped Deposition of Robert E. Fischell, Ph.D. dated November 16, 1999, Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Videotaped Deposition of Robert E. Fischell, Ph.D. dated January 4, 2000, Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Videotaped Deposition of Robert E. Fischell, Ph.D. dated January 6, 2000, Civil Action No. 98-197-SLR</del>	
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<b>OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS</b>		
<b>Examiner Initials*</b>	<b>Cite No.<sup>†</sup></b>	<b>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.</b>
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Videotaped Deposition of Robert E. Fischell, Ph.D dated January 6, 2000, Civil Action No. 98-197-SLR</del>
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Videotaped 30 (b) (6) Deposition of Robert E. Fischell, Ph.D dated January 6, 2000, Civil Action No. 98-197-SLR</del>
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Videotaped Deposition of Robert E. Fischell, Ph.D. dated June 13, 2000, Civil Action No. 98-197-SLR</del>
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Videotaped Deposition of Morton Rosenberg, Esq. Dated February 3, 2000, Civil Action No. 98-197-SLR</del>
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Videotaped Deposition of Morton J. Rosenberg, Esq. dated June 16, 2000, Civil Action No. 98-197-SLR</del>
<b>Examiner Signature</b>		<b>Date Considered</b>
<i>[Signature]</i>		1/6/05

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**(USE AS MANY SHEETS AS NECESSARY)**

Sheet	5	of	5
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Application Number

**Filing Date**

First Named Inventor

**Group Art Unit**

## Erstnamer Name

Attorney Docket Number

Robert E. Fischel

JOH2748P0043US

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Sheet 4 of 5

Classification of Known

Application Number

Filing Date

First Named Inventor

Robert E. Fischell

Group Art Unit

Examiner Name

Group / Unit

Attorney Docket Number

JOH2748P0043US

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

7

Lawrence et al., "Percutaneous Endovascular Graft: Experimental Evaluation," 1986 RSNA Annual Meeting, Radiology, vol. 163, pp. 357-60 (May 1987).

Mirisch et al., "Percutaneously Placed Endovascular Grafts for Aortic Aneurysms: Feasibility Study," Radiology, vol. 170, pp. 1033-37.

Fallone et al., "Elastic Characteristics of the Self-Expanding Metallic Stents," Investigative Radiology, vol. 23, pp. 370-76 (May 1988).

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Rosch et al., "Gianturco Expandable Stents In Experimental and Clinical Use," March 24, 1987.

Wallace et al., "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications Work in Progress," Radiology, 158:309-12 (1986).

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Yoshioka et al., "Self-Expanding Endovascular Graft: An Experimental Study in Dogs," AJR, 151:673-76 (1988).

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Name of Patent Office or  
Applicant or Owner of  
Document

Hosko Medical  
Company Ltd.

Examiner  
Signature

Date

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

4

Application Number

Filing Date

**First-Named Inventor**

Robert E. Fischell et al

**Group Art Unit**

Examiner Name \_\_\_\_\_

Attorney Docket No.

JOH2748P0043US

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS (1987).

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		Application Number/PTO	
		Filing Date	10/1/87
		First Named Inventor	Robert E. Fischell
		Group Art Unit	2141
		Examiner Name	
Sheet 4 of 5	Attorney Docket Number	TOH2748P0043US	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	61	Lawrence et al., "Percutaneous Endovascular Graft: Experimental Evaluation," 1987 RSNA Annual Meeting, <u>Radiology</u> , vol. 163, pp. 357-60 (May 1987).
	62	Mirich et al., "Percutaneously Placed Endovascular Grafts for Aortic Aneurysms: Feasibility Study," <u>Radiology</u> , vol. 170, pp. 1033-37.
	63	Fallone et al., "Elastic Characteristics of the Self-Expanding Metallic Stents," <u>Investigative Radiology</u> , vol. 23, pp. 370-75 (May 1988).
	64	Charnsangavej et al., "Stenosis of the Vena Cava: Preliminary Assessment of Treatment with Expandable Metallic Stents," <u>Radiology</u> , 1986 161:295-98.
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	66	Wallace et al., "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications Work in Progress," <u>Radiology</u> , 158:309-12 (1986).
	67	Rosch et al., "Experimental Intrahepatic Protocaval Anastomosis: Use of Expandable Gianturco Stents," <u>Radiology</u> , 162:481-85 (1987).
	68	Rosch et al., "Modified Gianturco Expandable Wire Stents in Experimental and Clinical Use," <u>Ann Radiol</u> , 31:100-03 (1988).

Examiner Signature	<i>[Signature]</i>	Examiner Signature		Date Considered	1/6/88
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet | 3 | of | 5

Application Number

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**First Named Lovegood**

## Group Art Unit

### Excitatory Name

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## Application Number

Filing Date

**First Named Inventor:**

Robert E. Fischell

### Group Art Unit

**Examiner Name**

**Attorney Doctord Numbers**

JOH2748P0043US

Examiner Initials	CDS No.	U.S. Patent Document		Name of Patentee or Applicant of Chad Document	Date of Publication of Chad Document MM-DD-YYYY	Pages Covered Under Where Reported Passages or References Follows Above
		Number	Kind Code (if known)			
		5,102,417		J.C. Palmaz	04/07/1992	
		5,104,404		R.G. Wolff	04/14/1992	
		5,133,732		D.M. Wiktor	07/28/1992	
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Sheet 1 of 5

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Application Number  
Filing Date  
First Named Inventor Robert E. Fischell  
Group Art Unit  
Examiner Name  
Attorney Docket Number 30H2748P0043US

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Paragraphs or Figures are cited
		Number	Kind Code <sup>1</sup> (if known)			
		3,657,744		R. A. Ersek	04/25/1972	
		4,503,569		C.T. Dotter	03/12/1985	
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		5,061,275		H.I. Wallsten	10/29/1991	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Paragraphs or Figures are cited
		Office <sup>2</sup>	Number <sup>3</sup>	Kind Code <sup>4</sup> (if known)			
		EP	433,011		R. Fischell et al	06/19/1991	
		EP	472,731		K. Inoue	03/04/1992	
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		GB	2,189,150		H. Wallsten et al	10/21/1987	
		PTCT	WO95/31945		Burmeister et al	11/30/95	

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				Application Number	09/596,074	
				Filing Date	June 16, 2000	Filing Date
				First Named Inventor	Robert E. Fischell et al.	First Named Inventor
				Group Art Unit	3731	Group Art Unit
				Examiner Name	W. Lewis	Examiner Name
Sheet	1	of	4	Attorney Docket No.		

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standards ST.16, if possible. <sup>6</sup> Applicant is to place a checkmark here if English language Translation is attached.

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Substitute for form 1449A/PTO		Application Number		09/596,074	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Filing Date		June 16, 2000	
		First Named Inventor		Robert E. Fischell et al.	
		Group Art Unit		3731ME	
		Examiner Name		W. Lewis	
Sheet	2	of	5	Attorney Docket No.	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s); publisher, city and/or country where published.	T <sup>2</sup>
		Jury Verdict (Damages) of Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc. et al., Civil Action No. 97-550-SLR and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		Jury Verdict (Liability) Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc. et al., Civil Action No. 97-550-SR and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		November 20, 2000, Pretrial Hearing of Boston Scientific Corporation et al. v. Cordis Corporation, Civil Action No. 98-19 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		November 21, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		November 27, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		November 28, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		November 29, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		November 30, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 1, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 4, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 5, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 6, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 7, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 8, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 11, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 12, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
		December 13, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)	
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Substitute for form 1449A/PTO		Application Number		09/596,074	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Filing Date		June 16, 2000	
		First Named Inventor		Robert E. Fischell et al.	
		Group Art Unit		3731	
		Examiner Name		W. Lewis	
Sheet	3	of	5	Attorney Docket No.	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
		<del>December 14, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</del>			
		<del>December 15, 2000, Trial Transcript of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 (SLR) and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</del>			
		<del>February 9, 2001, Trial Transcript (Inequitable Conduct) of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</del>			
		<del>February 12, 2001, Trial Transcript (Inequitable Conduct) of Cordis Corporation v. Medtronic Ave., Inc. et al., Civil Action No. 97-550 and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</del>			
		<del>March 28, 2002, Order re Post Trial Motions of Cordis Corporation v. Medtronic Ave., Inc., Civil Action No. 97-550 (SLR)</del>			
		<del>March 28, 2002, Opinion re Post Trial Motions of Cordis Corporation v. Medtronic Ave., Inc., Civil Action No. 97-550 (SLR)</del>			
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Brief in Support of BSC's Motions for Judgement as a Matter of Law with Respect to U.S. Patent Nos. 5,643,312 and 5,879,370, Civil Action No. 98-197-SLR</del>			
		<del>Cordis Corporation v. Boston Scientific Corporation, and Scimed Life Systems, Inc., Answering Brief in Opposition to BSC's Motion for JMOL on the Fischell 312 and 370 Patents, Civil Action No. 98-197-SLR</del>			
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., BSC's Reply Brief in Support of BSC's Motions for Judgement as a Matter of Law with Respect to U.S. Patent Nos. 5,643,312 and 5,879,370, Civil Action No. 98-197-SLR</del>			
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Opening Brief in Support of Cordis' JMOL Motion Against Boston Scientific on Claim 44 of the Palmaz 762 Patent and Claim 25 of the Fischell 370 Patent, Civil Action No. 97-550 (SLR) and Civil Action No. 98-197-SLR</del>			
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., BSC's Brief in Opposition To Cordis' JMOL Motion Regarding the Applicability of the Reverse Doctrine of Equivalents to Claim 25 of U.S. Patent No. 5,879,370, Civil Action No. 98-197-SLR</del>			
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Reply Brief in Support of Cordis' Motion for JMOL Against BSC on Claim 44 of the Palmaz 762 Patent and Claim 25 of the Fischell 370 Patent, Civil Action No. 97-550-SLR and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</del>			
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., BSC's Post-Trial Brief in Support of Finding that U.S. Patent Nos. 5,643,312 and 5,879,370 are Unenforceable due to Inequitable Conduct, Civil Action No. 98-197 (SLR)</del>			
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., BSC's Reply Brief in Support of Finding That U.S. Patent Nos. 5,643,312 and 5,879,370 are Unenforceable due to Inequitable Conduct, Civil Action No. 98-197-SLR</del>			
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Reply Brief in Support of Defendants' Motion for Summary Judgment of Unenforceability due to Inequitable Conduct, Civil Action No. 98-197-SLR</del>			
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		Examiner Name	W. Lewis		
Sheet	4	of	5	Attorney Docket No.	

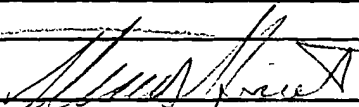
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		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Defendants' Markman Memorandum on Claim Construction, Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Reply Brief in Support of Defendants' Motion for Summary Judgement of Invalidity Under 35 U.S.C. Section 112, Paragraph 2 (Claim Indefiniteness), Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Reply Brief in Support of Defendant's Motion for Summary Judgement of Noninfringement, Civil Action No., 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Opening Expert Report of David C. Cumberland, M.D., Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Rebuttal Expert Report of David C. Cumberland, M.D., Civil Action No. 98-197-SLR</del>	
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		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Boston Scientific Corporation and Scimed Life Systems, Inc.'s Notice Pursuant to 35 U.S.C. §282, Civil Action No. 97-550-SLR and Cordis Corporation v. Boston Scientific Corporation et al., Civil Action No. 98-197 (SLR)</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Memorandum Order dated September 7, 2000, Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Order dated November 1, 2000 Denying Defendant's Motion for Summary Judgment of Invalidity, Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Order dated November 1, 2000 Summary Judgement of Infringement, Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Deposition of Robert E. Fischell, Ph.D. dated November 16, 1999, Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Deposition of Robert E. Fischell, Ph.D. dated January 4, 2000, Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Deposition of Robert E. Fischell, Ph.D. dated January 5, 2000, Civil Action No. 98-197-SLR</del>	
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		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Deposition of Robert E. Fischell, Ph.D dated January 6, 2000, Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Deposition of Robert E. Fischell, Ph.D dated January 6, 2000, Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Deposition of Robert E. Fischell, Ph.D dated June 15, 2000, Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Deposition of Morton Rosenberg, Esq. Dated February 3, 2000, Civil Action No. 98-197-SLR</del>	
		<del>Cordis Corporation v. Boston Scientific Corporation and Scimed Life Systems, Inc., Deposition of Morton J. Rosenberg, Esq. dated June 15, 2000, Civil Action No. 98-197-SLR</del>	
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